

TEMPLATE FOR APPLYING FOI SECTION 40(2) OR EIR 12(3) 13(1)

DOF/2022-0210

The lawfulness, fairness and transparency test

Business areas are required to carry out a “lawfulness, fairness and transparency test” when considering the disclosure of third party personal data falling within the scope of a FOI or EIR request. **In most cases**, neither of the lawful basis outlined below will apply, however the business area must complete the test as evidence as having considered.

The steps are as follows:-

1. Consider the Personal Data falling within the scope of the request

The request asks for the number of warnings issued by the same dept in maghaberry for sick absences or inefficiency and the number of warnings that were appealed and successful along with any reason why the appeal was successful if recorded broken down into years please from 01/01/2020 till 30/05/2022.

The number of appeals that were successful is less than 4 so due to the small number there is a risk of individuals being identified. Also the details of the appeal is information which is personal to the individual which if disclosed could lead to their identification.

ICO guidance states that, personal data only includes information relating to natural persons who:

- can be identified or who are identifiable, directly from the information in question; or
- who can be indirectly identified from that information in combination with other information.

Brief description:

The data held is personal data but does not constitute special category data.

2. Lawfulness

Do either of the two lawful basis below which allow for the disclosure of personal data apply? **No**

- Consent:** We do not have the consent of the colleagues involved to share information relating to their sickness absence appeals. Releasing the requested information would risk identifying the individual and would therefore contravene the data protection principles.
- Legitimate interests:** Disclosure is not necessary for the Department’s legitimate interests or the legitimate interests of a third party that overrides the

data subject(s) rights and freedoms, particularly their right to privacy. The data subjects involved would have a reasonable expectation that this information would not be shared with the public at large.

The DoF Privacy notice states that we may process personal information for the purposes of detection and investigation of suspected or actual fraud, loss or crime, data matching under the National Fraud Initiative, staff monitoring and as required by other legislation. This request is based solely on the requester's private concerns as opposed to a pressing public interest that would necessitate transparency and disclosure of the information.

Consequently, if NICSHR were to comply with the request, we would, in effect, be making an unrestricted disclosure of personal data to the general public on the strength of the requester's private interests. This could constitute a disproportionate and unwarranted level of interference with the individuals' rights and freedoms – particularly their right to privacy and family life under the Human Rights Act 1998.